

(On the letter head or plain paper of the non-resident shareholder)

Date:

To

Shyam Metalics And Energy Limited
Corporate Office: Trinity Tower,
7th Floor, 83, Topsia Road,
Kolkata – 700046,
West Bengal

Re: Self Declaration for claiming the tax treaty benefits for the financial year 2021-22
(01/04/2021 to 31/03/2022)

This is to confirm that:

- a) ____ (Name of the Non-resident shareholder) is an individual.
- b) ____ (Name of the Non-resident shareholder) is ____ Firm/ Company/ Other entity (please specify) registered and incorporated under the laws of the ____ (Name of the country).
- c) ____ (Name of the Non-resident shareholder) is a “resident” of the ____ (Name of the foreign country) liable to tax therein as defined in Article 4 of the Double Tax Avoidance Agreement (“DTAA”) between the Government of the Republic of India and the Government of the ____ (Name of the foreign country).
- d) ____ (Name of the Non-resident shareholder) does not have a “permanent establishment” in India as defined in Article 5 of the said DTAA.
- e) ____ (Name of the Non-resident shareholder) is a non-resident of India under section 6 of the Income Tax Act, 1961 (“the Act”) during the year 1 April 2021 to 31 March 2022.
- f) ____ (Name of the Non- resident shareholder) does not have and will not have a Place of Effective Management in India as per section 6(3)(ii) of the Act during the year 1 April 2021 to 31 March 2022.
- g) ____ (Name of the Non- resident shareholder) does not have any business connection in India as per section 9(1) of the Act through which the business is carried on in India or permanent establishment in India.
- h) ____ (Name of the Non- resident shareholder) is the beneficial owner of shares held in the Company. Further, ____ (Name of the Non-resident shareholder) is the beneficial owner of dividend receivable from the Company in relation to aforementioned shares;
- i) We do / do not have PAN in India. Our PAN Number in India is _____
(Copy of the PAN Card should be attached)

* Strike out whichever is not applicable

I/We also undertake, to intimate you immediately, if there are any changes in the above at any time during the year.

I/We hereby confirm that the declarations made above are complete, true and bona fide. This declaration is issued to the Company to enable them to decide upon the withholding tax applicable on the dividend income receivable by us/me.

For (Name of the non-resident entity)

(Name)

(Designation)

Date:

Place:

UNIT CODE : SHYA
 UNIT NAME : SHYAM METALICS AND ENERGY LIMITED
 BENPOS DATE: 08/09/2021

TYPE	CASES	SHARES
PHY	1	2
NSD	54865	245427419
CDS	121949	9653267
GRAND TOTAL:	176815	255080688
TOTAL EQT :		255080688
DIFFERENCE :		0

NSDL Rev.Date: 08/09/2021
 CDSL Rev.Date: 08/09/2021

ISIN Wise Recon Balances as on 08/09/2021

ISIN-Type	ISIN-Code	Type	ISIN-Balance
Fully Paid	INE810G01011	NSD	245427419
Fully Paid	INE810G01011	CDS	9653267

Start Time: 11/09/2021 09:57:29 PM
 End Time: 11/09/2021 09:58:47 PM

D:/DATA/SHYA0809.DBF

SHYAM METALICS AND ENERGY LIMITED
 CONTROL DATA AS ON 08/09/2021

SNO	CATEGORY	No of Holders	Shares	Serial No From	Serial No To
10	Indian Address (City-Others)	3	270	1	3
11	Indian Address	8619	2207196	4	8622
12	Foreign Address	7	2228	8623	8629
32	With Email Id's	168186	252870994	8630	176815
TOTAL:		176815	255080688		

Unit Code : SHYA
 Unit Name : SHYAM METALICS AND ENERGY LIMITED

Table Name: shya01808092021

No of Records Uploaded: 168186

Camp No : 12820

Reference No(s) : 812932891 - 813101076

