

General information about company	
Scrp code	543299
NSE Symbol	SHYAMMETL
MSEI Symbol	NOTLISTED
ISIN	INE810G01011
Name of the entity	SHYAM METALICS AND ENERGY LIMITED
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Yearly
Date of Report	31-03-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 500 listed entities



Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory																									
Whether the listed entity has a Regular Chairperson																									
Whether Chairperson is related to MD or CEO																									
Disqualification of Directors under section 164 of the Companies Act, 2013																									
Sr	Title (Mr/Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	MAHABIR PRASAD AGARWAL	ACWPA7573J	00235780	Non-Executive - Non Independent Director	Chairperson related to Promoter		05-04-1946	No				Active	Yes	19-02-2021	06-04-2018	06-04-2018		59.25	1	0	0	0		
2	Mr	BRJBJHUSIAN AGARWAL	ACGPA0365A	01125056	Executive Director	Not Applicable		13-09-1972	No				Active	NA		11-12-2002	01-10-2019		243.21	2	0	0	0		
3	Mr	SANJAY KUMAR AGARWAL	ACVPA5953H	00232938	Executive Director	Not Applicable		27-03-1976	No				Active	NA		11-12-2002	01-04-2022		243.21	1	0	3	0		
4	Mr	DEEPAK KUMAR AGARWAL	ADBPA4042Q	00560010	Executive Director	Not Applicable		06-01-1974	No				Active	NA		14-07-2014	14-07-2019		104.18	1	0	0	0		



I. Composition of Board of Directors																									
Disclosure of notes on composition of board of directors explanatory																									
Whether the listed entity has a Regular Chairperson																									
Sr	Title (Mr/Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg 17(A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Term of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committees) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr	DEV KUMAR TIWARI	ADKPT0309E	02432511	Executive Director	Not Applicable		01-01-1971	No				Active	NA		10-04-2009	01-04-2022		167.21	1	0	0	0		
6	Mr	ASHOK KUMAR JAISWAL	AFJPK3188F	00545574	Non-Executive - Independent Director	Not Applicable		28-03-1958	No				Active	NA		16-01-2018	16-01-2023		62.16	1	1	2	1		
7	Mr	KISHAN GOPAL BALDWA	ACWPB9194J	01122052	Non-Executive - Independent Director	Not Applicable		01-03-1952	No				Active	NA		15-05-2018	15-05-2018		58.17	1	1	1	1		
8	Mr	YUDHIVIR SINGH IAIN	AAOPJ4526C	06507365	Non-Executive - Independent Director	Not Applicable		08-08-1952	No				Active	NA		16-01-2018	16-01-2023		62.16	1	1	4	1		



I. Composition of Board of Directors																									
Disclosure of notes on composition of board of directors explanatory																									
Whether the listed entity has a Regular Chairperson																									
Sr	Title (Mr/Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg 17(A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committees) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
9	Ms	RAJNI MISIIRA	BYGPM9035C	07706571	Non-Executive - Independent Director	Not Applicable		01-01-1987	No				Active	NA		12-02-2021	12-02-2021		25.17	3	3	2	2		
10	Mr	AJAY CHOUHURY	ACDPC4040P	00040825	Non-Executive - Independent Director	Not Applicable		22-12-1965	No				Active	NA		06-04-2018	06-04-2018	14-02-2023	59.25	1	1	1	1		
11	Mr	VENKAT KRISHNA NAGESHWARA RAO MAJI	AGCPM4470G	07035891	Non-Executive - Independent Director	Not Applicable		12-06-1952	No				Active	NA		13-12-2014	03-04-2020	14-02-2023	99.19	1	1	0	0		
12	Mr	NAND GOPAL KHAITAN	AFLPK3827K	00020588	Non-Executive - Independent Director	Not Applicable		21-05-1951	No				Active	NA		14-02-2023	14-02-2023		0.18	7	7	9	3		



Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01122052	KISHAN GOPAL BALDWA	Non-Executive - Independent Director	Chairperson	15-05-2018		
2	00545574	ASHOK KUMAR JAISWAL	Non-Executive - Independent Director	Member	15-05-2018		
3	06507365	YUDHVIR SINGH JAIN	Non-Executive - Independent Director	Member	15-05-2018		
4	00232938	SANJAY KUMAR AGARWAL	Executive Director	Member	15-05-2018		



Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01122052	KISHAN GOPAL BALDWA	Non-Executive - Independent Director	Chairperson	14-02-2023		
2	00545574	ASHOK KUMAR JAISWAL	Non-Executive - Independent Director	Member	28-09-2020		
3	00235780	MAHABIR PRASAD AGARWAL	Non-Executive - Non Independent Director	Member	15-05-2018		
4	00040825	AJAY CHOUDHURY	Non-Executive - Independent Director	Chairperson	15-05-2018	14-02-2023	



Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00545574	ASHOK KUMAR JAISWAL	Non-Executive - Independent Director	Chairperson	15-05-2018		
2	00232938	SANJAY KUMAR AGARWAL	Executive Director	Member	15-05-2018		
3	06507365	YUDHVIR SINGH JAIN	Non-Executive - Independent Director	Member	15-05-2018		



Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01122052	KISHAN GOPAL BALDWA	Non-Executive - Independent Director	Chairperson	15-03-2022		
2	01125056	BRIJBHUSHAN AGARWAL	Executive Director	Member	15-03-2022		
3	00560010	DEEPAK KUMAR AGARWAL	Executive Director	Member	15-03-2022		
4	06507365	YUDHVIR SINGH JAIN	Non-Executive - Independent Director	Member	15-03-2022		



Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00235780	MAHABIR PRASAD AGARWAL	Non-Executive - Non Independent Director	Chairperson	15-05-2018		
2	01125056	BRIJBHUSHAN AGARWAL	Executive Director	Member	15-05-2018		
3	07706571	RAJNI MISHRA	Non-Executive - Independent Director	Member	14-02-2023		
4	00040825	AJAY CHOUDHURY	Non-Executive - independent Director	Member	15-05-2018	14-02-2023	



Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks



Annexure 1								
Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	09-11-2022				Yes	11	8	5
2		14-02-2023	96		Yes	10	9	4



Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No of members attending the meeting (other than Board of Directors)
1	Audit Committee	09-11-2022				Yes	4	3	3	0
2	Audit Committee	14-02-2023	96			Yes	4	3	2	0
3	Stakeholders Relationship Committee	09-11-2022				Yes	3	2	2	0
4	Stakeholders Relationship Committee	14-02-2023	96			Yes	3	2	1	0
5	Nomination and remuneration committee	09-11-2022				Yes	3	3	2	0
6	Nomination and remuneration committee	14-02-2023	96			Yes	4	3	2	0



Annexure I										
IV. Meeting of Committees										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Corporate Social Responsibility Committee	09-11-2022				Yes	3	3	1	0
8	Corporate Social Responsibility Committee	14-02-2023	96			Yes	3	3	1	0
9	Risk Management Committee	14-02-2023				Yes	4	4	2	0



Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	



Annexure I		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors	Yes



Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Birendra Kumar Jain
2	Designation	Company Secretary and Compliance Officer



Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here	Web address
1	Details of business	Yes		https://www.shyammetals.com/about-us/
2	Terms and conditions of appointment of independent directors	Yes		https://www.shyammetals.com/investors/policies/
3	Composition of various committees of board of directors	Yes		https://www.shyammetals.com/about-us/message-from-md/
4	Code of conduct of board of directors and senior management personnel	Yes		https://www.shyammetals.com/wp-content/uploads/2021/08/SMEL_Policy-Doc_Code-of-Conduct.pdf
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		https://www.shyammetals.com/wp-content/uploads/2021/08/SMEL_PolicyDoes_WhistleBlower.pdf
6	Criteria of making payments to non-executive directors	Yes		https://www.shyammetals.com/wp-content/uploads/2021/08/SMEL_Policy-Doc_Making-Payments-to-NED.pdf
7	Policy on dealing with related party transactions	Yes		https://www.shyammetals.com/wp-content/uploads/2021/08/SMEL_Policy-Doc_Related-Party-Transactions.pdf
8	Policy for determining 'material' subsidiaries	Yes		https://www.shyammetals.com/wp-content/uploads/2021/08/SMEL_Policy-Doc_Determining-Material-Subsidiary.pdf
9	Details of familiarization programmes imparted to independent directors	Yes		https://www.shyammetals.com/wp-content/uploads/2021/08/SMEL_Policy-Doc_Familiarisation-Prog.pdf



Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		https://www.shyammetals.com/investors/investor-relations-contact/
11	email address for grievance redressal and other relevant details	Yes		https://www.shyammetals.com/contact-us/
12	Financial results	Yes		https://www.shyammetals.com/investors/financials/
13	Shareholding pattern	Yes		https://www.shyammetals.com/wp-content/themes/shyam/assets/investors/announcement/Shareholding-Pattern-as-on-30.06.2022.pdf
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	Yes		https://www.shyammetals.com/investors/announcement/
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (f)	Yes		https://www.shyammetals.com/wp-content/themes/shyam/assets/investors/announcement/SMELNewspaperPub15022023.pdf



18	Credit rating or revision in credit rating obtained	Yes		https://www.shyammetals.com/wp-content/themes/shyam/assets/investors/announcement/SMELCreditRating08072022.pdf
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Yes		https://www.shyammetals.com/investors/financials-of-subsidiaries-companies/
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		https://www.shyammetals.com/
21	Materiality Policy as per Regulation 30	Yes		https://www.shyammetals.com/wp-content/uploads/2021/08/SMEL_Policy-Doc_Materiality-of-Events.pdf
22	Dividend Distribution policy as per Regulation 43A (as applicable)	Yes		https://www.shyammetals.com/wp-content/uploads/2022/04/Dividend_Distribution_Policy.pdf
23	It is certified that these contents on the website of the listed entity are correct	Yes		https://www.shyammetals.com/



Annexure II				
H. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	



Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	



Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
23	Meeting of Risk Management Committee	21(3A)	Yes	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	Yes	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes	



Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	NA	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	Yes	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
Any other information to be provided - Add Notes				



Annexure II		
1	Name of signatory	Birendra Kumar Jain
2	Designation	Company Secretary and Compliance Officer



Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
	Any other information to be provided	



Annexure II		
1	Name of signatory	Birendra Kumar Jain
2	Designation	Company Secretary and Compliance Officer



Handwritten signature in blue ink.

Additional Half yearly Disclosure			
Applicability of disclosure	Applicable		
Reason for Non Applicability	Textual Information(1)		
I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below			
(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	0	0	
Promoter Group or any other entity controlled by them	0	0	
Directors (including relatives) or any other entity controlled by them	0	0	
KMPs or any other entity controlled by them	0	0	
(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By			
Entity	Type (guarantee, comfort letter etc)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them	NA	0	0
Promoter Group or any other entity controlled by them	NA	0	0
Directors (including relatives) or any other entity controlled by them	NA	0	0
KMPs or any other entity controlled by them	NA	0	0
(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	NA	0	0
Promoter Group or any other entity controlled by them	NA	0	0
Directors (including relatives) or any other entity controlled by them	NA	0	0
KMPs or any other entity controlled by them	NA	0	0
(D) Additional Information			Textual Information(2)
II. Affirmations			
Affirmations	Compliance Status	Company Remarks	
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company	Yes	Textual Information(3)	
Name	Brj Bhusan Agarwal		



Designation	CEO		
Place	Kolkata		
Date	10-04-2023		



Signatory Details	
Name of signatory	Birendra Kumar Jam
Designation of person	Company Secretary and Compliance Officer
Place	Kolkata
Date	10-04-2023



