

KFIN/IGR/DIXON/Reg 13 DATE: 12/10/2021

Mr B K JAIN Company Secretary Shyam Metalics and Energy Limited Trinity Tower ,7th Floor 83, Topsia Road Kolkata -700046

Sub: Statement of Investor Complaints for the quarter ended 30th September, 2021.

Dear Sir,

With reference to the above, we are pleased to furnish below the status of the Investor complaints under SEBI (Listing Obligations & Disclosure requirement), Regulations, 2015 for the quarter ended 30th September, 2021.

| Description of Complaints | Opening Balance as on 01.07.2021 | Received during the period 01.07.2021 to 30.09.2021 | Attended/Resolved during the period 01.07.2021 to 30.09.2021 | Pending as on 30.09.2021 |
|--|---|---|---|--------------------------------|
| STATUS OF APPLICATIONS LODGED FOR PUBLIC ISSUE(S) | 0 | 337 | 337 | 0 |
| NON RECEIPT OF REFUND/UNBLOCK | 0 | 1903 | 1903 | 0 |
| NON RECEIPT OF ELECTRONIC CREDITS | 0 | 55 | 55 | 0 |
| REASON FOR REJECTION (NON ALLOTMENT) | 0 | 35 | 35 | 0 |
| ON RECEIPT OF ANNUAL REPORTS (Request) | 0 | 2 | 2 | 0 |
| NON RECEIPT OF DIVIDEND WARRANTS | 0 | 4 | 4 | 0 |
| COMPLAINT FROM STOCK EXCHANGES | 0 | 0 | 0 | 0 |
| COMPLAINT FROM SEBI | 0 | 6 | 5 | #1 |
| TOTAL | 0 | 2342 | 2341 | 1 |

Note:

The complaints received and resolved 2^{nd} week of October, 2021

Thanking you,

Yours faithfully, For KFin Technologies Pvt. Ltd



Rajitha Cholleti Asst. Vice President - Corporate Registry

KFin Technologies Private Limited

(Formerly known as "Karvy Fintech Private Limited")

Registered & Corporate Office

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032. Ph : +91 40 6716 2222, 7961 1000 | https://www.kfintech.com | CIN : U72400TG2017PTC117649



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