

SMEL/SE/2022-23/05

April 21, 2022

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|---|---|
| <b>The Secretary,<br/>Listing Department.<br/>BSE Limited</b><br>Phiroze Jeejeebhoy Towers<br>Dalal Street<br>Mumbai 400 001<br>Maharashtra, India<br>Scrip Code: <b>543299</b> | <b>The Manager – Listing Department<br/>National Stock Exchange of India<br/>Limited</b><br>“Exchange Plaza”, 5th Floor, Plot No.<br>C/1, G Block, Bandra-Kurla Complex,<br>Bandra (East), Mumbai 400 051<br>Maharashtra, India<br>Symbol: <b>SHYAMMETL</b> |
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**Sub: - Submission of Certificate under Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 for the year ended 31st March, 2022**

Please find enclosed herewith the certificate under Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 for the financial year ended 31<sup>st</sup> March, 2022.

This is for your kind information and record.

Thanking you,

Yours faithfully,

**For Shyam Metals And Energy Limited**

**Birendra Kumar Jain**  
**Company Secretary**





**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015**

**FOR THE PERIOD ENDED 31<sup>ST</sup> MARCH, 2022**

I have examined Registers, files and other documents relating to **SHYAM METALICS & ENERGY LIMITED** maintained by **KFIN TECHNOLOGIES LIMITED (RTA)** of Selenium, Tower - B, Plot No. 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500032 pertaining to transfer of equity shares of the company for the period from 24<sup>th</sup> June, 2021 (date of listing of equity shares on the stock exchanges) to 31<sup>st</sup> March, 2022 for the purpose of issuing a certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/ RTA, I hereby certify that there were no instances of transfer, transmission, name deletion, transposition, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from 24<sup>th</sup> June, 2021 to 31<sup>st</sup> March, 2022.

Date: 21.04.2022

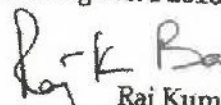
Place: Kolkata

UDIN: A017190D000174248

For MKB & Associates

Company Secretaries

Firm Reg No: P2010WB042701

  
Raj Kumar Banthia



Membership no. 17190

COP no. 18428