

General information about company	
Scrip code	543299
NSE Symbol	SHYAMMETL
MSEI Symbol	NOTLISTED
ISIN	INE810G01011
Name of the entity	SHYAM METALICS AND ENERGY LIMITED
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Half Yearly
Date of Report	30-09-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 500 listed entities

Annexure I								
Annexure I to be submitted by listed entity on quarterly basis								
I. Composition of Board of Directors								
Disclosure of notes on composition of board of directors explanatory								
Whether the listed entity has a Regular Chairperson							Yes	
Whether Chairperson is related to MD or CEO							Yes	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	MAHABIR PRASAD AGARWAL	ACWPA7573J	00235780	Non-Executive - Non Independent Director	Chairperson related to Promoter		05-04-1946
2	Mr	BRIJBHUSHAN AGARWAL	ACGPA0365A	01125056	Executive Director	Not Applicable	MD	13-09-1972
3	Mr	SANJAY KUMAR AGARWAL	ACVPA5953H	00232938	Executive Director	Not Applicable	MD	27-03-1976
4	Mr	DEEPAK AGARWAL	ADBPA4042Q	00560010	Executive Director	Not Applicable		06-01-1974
5	Mr	DEV KUMAR TIWARI	ADKPT0309E	02432511	Executive Director	Not Applicable		01-01-1971
6	Mr	KISHAN GOPAL BALDWA	ACWPB9194J	01122052	Non-Executive - Independent Director	Not Applicable		01-03-1952
7	Mr	YUDHVIR SINGH JAIN	AAOPJ4526C	06507365	Non-Executive - Independent Director	Not Applicable		08-08-1952
8	Ms	RAJNI MISHRA	BYGPM9035C	07706571	Non-Executive - Independent Director	Not Applicable		01-01-1987
9	Mr	NAND GOPAL KHAITAN	AFLPK3827K	00020588	Non-Executive - Independent Director	Not Applicable		21-05-1951
10	Mr	MALAY KUMAR DE	ADAPD3015F	00117655	Non-Executive - Independent Director	Not Applicable		22-09-1959
11	Mr	ASHOK KUMAR JAISWAL	AFJPK3188F	00545574	Non-Executive - Independent Director	Not Applicable		28-03-1958

I. Composition of Board of Directors					
Disqualification of Directors under section 164 of the Companies Act, 2013					
Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active
8	No				Active
9	No				Active
10	No				Active
11	No				Active

I. Composition of Board of Directors												
Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Yes	19-02-2021	06-04-2018	06-04-2018			1	0	0	0		
2	NA		11-12-2002	01-10-2019			2	0	0	0		
3	NA		11-12-2002	01-04-2022			1	0	3	0		
4	NA		14-07-2014	14-07-2019			1	0	0	0		
5	NA		10-04-2009	01-04-2022			1	0	0	0		
6	NA		15-05-2018	15-05-2023		64.17	1	1	1	1		
7	NA		16-01-2018	16-01-2023		68.16	1	1	3	0		
8	NA		12-02-2021	12-02-2021		31.17	2	2	2	0		
9	Yes	05-05-2023	14-02-2023	14-02-2023		7.15	6	6	8	3		
10	NA		27-07-2023	27-07-2023		2.5	1	1	0	0		
11	NA		16-01-2018	16-01-2023	27-07-2023	68.16	0	0	0	0		

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01122052	KISHAN GOPAL BALDWA	Non-Executive - Independent Director	Chairperson	15-05-2018		
2	06507365	YUDHVIR SINGH JAIN	Non-Executive - Independent Director	Member	15-05-2018		
3	00232938	SANJAY KUMAR AGARWAL	Executive Director	Member	15-05-2018		
4	07706571	RAJNI MISHRA	Non-Executive - Independent Director	Member	27-07-2023		
5	00545574	ASHOK KUMAR JAISWAL	Non-Executive - Independent Director	Member	15-05-2018	27-07-2023	

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01122052	KISHAN GOPAL BALDWA	Non-Executive - Independent Director	Chairperson	14-02-2023		
2	00235780	MAHABIR PRASAD AGARWAL	Non-Executive - Non Independent Director	Member	15-05-2018		
3	06507365	YUDHVIR SINGH JAIN	Non-Executive - Independent Director	Member	27-07-2023		
4	00545574	ASHOK KUMAR JAISWAL	Non-Executive - Independent Director	Member	28-09-2020	27-07-2023	

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06507365	YUDHVIR SINGH JAIN	Non-Executive - Independent Director	Chairperson	27-07-2023		
2	00232938	SANJAY KUMAR AGARWAL	Executive Director	Member	15-05-2018		
3	07706571	RAJNI MISHRA	Non-Executive - Independent Director	Member	27-07-2023		
4	00545574	ASHOK KUMAR JAISWAL	Non-Executive - Independent Director	Chairperson	15-05-2018	27-07-2023	

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01122052	KISHAN GOPAL BALDWA	Non-Executive - Independent Director	Chairperson	15-03-2022		
2	01125056	BRIJBHUSHAN AGARWAL	Executive Director	Member	15-03-2022		
3	00560010	DEEPAK AGARWAL	Executive Director	Member	15-03-2022		
4	06507365	YUDHVIR SINGH JAIN	Non-Executive - Independent Director	Member	15-03-2022		

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00235780	MAHABIR PRASAD AGARWAL	Non-Executive - Non Independent Director	Chairperson	15-05-2018		
2	01125056	BRIJBHUSHAN AGARWAL	Executive Director	Member	15-05-2018		
3	07706571	RAJNI MISHRA	Non-Executive - Independent Director	Member	14-02-2023		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1								
Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	05-05-2023				Yes	10	8	3
2	24-05-2023		18		Yes	10	9	4
3		27-07-2023	63		Yes	11	9	4

Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	05-05-2023				Yes	4	3	2	0
2	Audit Committee	24-05-2023	18			Yes	4	3	3	0
3	Audit Committee	27-07-2023	63			Yes	4	3	2	0
4	Stakeholders Relationship Committee	05-05-2023				Yes	3	2	1	0
5	Stakeholders Relationship Committee	27-07-2023	82			Yes	3	2	1	0
6	Nomination and remuneration committee	05-05-2023				Yes	3	2	1	0

Annexure 1										
IV. Meeting of Committees										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Nomination and remuneration committee	27-07-2023	82			Yes	4	3	2	0
8	Nomination and remuneration committee	25-09-2023	59			Yes	3	3	2	0
9	Nomination and remuneration committee	27-09-2023	1			Yes	3	3	2	0
10	Corporate Social Responsibility Committee	24-05-2023				Yes	3	3	1	0
11	Risk Management Committee	27-07-2023				Yes	4	4	2	0

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Birendra Kumar Jain
2	Designation	Company Secretary and Compliance Officer

Annexure III				
III. Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	Yes	
6	Submission of Annual Secretarial Compliance Report	24A(2)	Yes	
7	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
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Annexure III		
1	Name of signatory	Birendra Kumar Jain
2	Designation	Company Secretary and Compliance Officer

Additional Half yearly Disclosure			
Applicability of disclosure	Applicable		
Reason for Non Applicability	Textual Information(1)		
I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below			
(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	0	0	
Promoter Group or any other entity controlled by them	7536186727	1922795944	
Directors (including relatives) or any other entity controlled by them	0	0	
KMPs or any other entity controlled by them	0	0	
(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them	NA	0	0
Promoter Group or any other entity controlled by them	Guarantee	750500000	750500000
Directors (including relatives) or any other entity controlled by them	NA	0	0
KMPs or any other entity controlled by them	NA	0	0
(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	NA	0	0
Promoter Group or any other entity controlled by them	NA	0	0
Directors (including relatives) or any other entity controlled by them	NA	0	0
KMPs or any other entity controlled by them	NA	0	0
(D) Additional Information			Textual Information(2)
II. Affirmations			
Affirmations		Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.		Yes	Textual Information(3)
Name	Deepak Agarwal		
Designation	CFO		
Place	Kolkata		
Date	18-10-2023		

Details of Cyber security incidence	
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	No

Signatory Details	
Name of signatory	Birendra Kumar Jain
Designation of person	Company Secretary and Compliance Officer
Place	Kolkata
Date	18-10-2023

